



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): David L. Wood, Michael B. Dilger, Tom Pratt, Derk Norton and Stan D. Shurygailo

Title: SECURE DATA BROKER

Application No.: 09/357,726

Filed:

July 21, 1999

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OCT 11 2000

Examiner: Joseph E. Palys

Group Art Unit:

2787

Atty. Docket No.: 1004-3633

OFFICE OF PETITIONS

October 2, 2000

COMMISSIONER FOR PATENTS  
Washington, DC 20231

**ASSENT OF ASSIGNEE TO  
CORRECTION OF INVENTORSHIP**

Assignment(s) to:

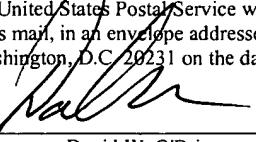
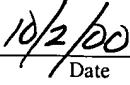
SUN MICROSYSTEMS, INC.  
901 SAN ANTONIO RD  
PALO ALTO, CA 94303

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TC 2700 MAIL ROOM

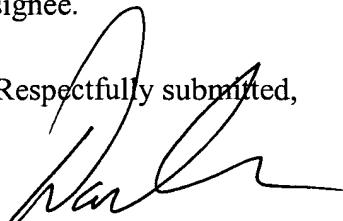
is (are):

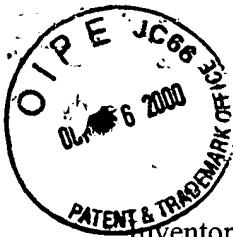
- Recorded on July 21, 1999 at Reel 010119, Frame 0698.
- Submitted herewith for recordation.

Assignee hereby assents to the Correction of Inventorship filed herewith and the undersigned is authorized to give such consent on behalf of the Assignee.

<b>CERTIFICATE OF MAILING</b>	
<p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on the date shown below.</p>	
 David W. O'Brien	 <u>10/2/00</u> Date

Respectfully submitted,

  
 David W. O'Brien, Reg. No. 40,107  
 Attorney for Applicant(s)  
 (512) 347-9030  
 (512) 347-9031 (fax)

#4  
10-18-00IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): David L. Wood, Michael B. Dilger, Thomas Pratt, Derk Norton, and Stan D. Shurygailo

Title: SECURE DATA BROKER

Application No.: 09/357,726 Filed: July 21, 1999

Examiner: Unknown Group Art Unit: 2756

Atty. Docket No.: 1004-3633

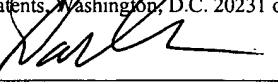
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

**STATEMENT BY PERSON BEING  
ADDED OR DELETED AS AN INVENTOR**

In an executed oath or declaration under 35 U.S.C. § 1.63, I, Stan D. Shurygailo was previously:

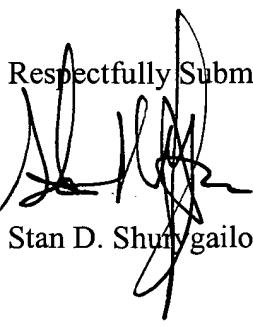
named as an inventor in error OR  
 through error, not named as an inventor.

Such error arose without deceptive intention on my part.

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.	
 David W. O'Brien	 Date 10/16/00

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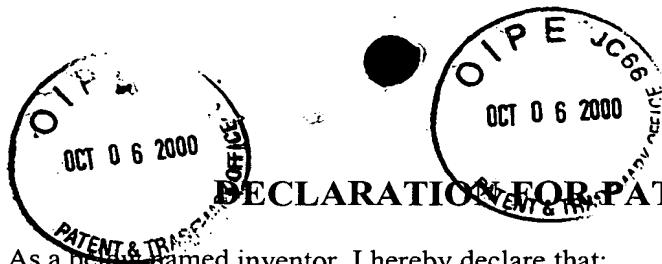
Respectfully Submitted,

  
 Stan D. Shurygailo

10/11/2000 CW0111 00000017 09357726

01 FC:122

130.00 OP

**DECLARATION FOR PATENT APPLICATION****RECEIVED**

OCT 11 2000

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled

**SECURE DATA BROKER**

which (check)  is attached hereto.  
 and is amended by the Preliminary Amendment attached hereto.  
 was filed on July 21, 1999 as Application Serial No. 09/357,726  
 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Day/Month/Year Filed	Yes	No
N/A				

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
N/A	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
N/A		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Mark Zagorin (36,067); Andrew C. Graham (36,531); David W. O'Brien (40,107); Margaret M. Kelton (44,182); Kenneth Olsen (26,493); Timothy J. Crean (37,116); Robert S. Hauser (37,847); Joseph T. Fitzgerald (33,881); Alexander E. Silverman (37,940); Christine S. Lam (37,489); Anirma Rakshpal Gupta (38,275); Sean Patrick Lewis (43,798); and Michael J. Schallop (44,319).

Please direct all correspondence concerning this application to the USPTO Customer Number, if provided, or otherwise to the individual and/or firm named below:

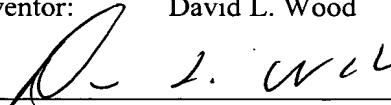
Customer Number 22120  
**ZAGORIN, O'BRIEN & GRAHAM, L.L.P.**  
 1120 S. CAPITAL OF TEXAS HWY.  
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I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole (or first joint) inventor: David L. Wood

Inventor's Signature:   
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 Post Office Address: 2542 Franklin Avenue  
 Louisville, CO 80027

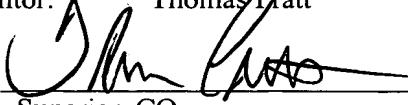
Date: 8-22-2000  
 Citizenship: USA

Full name of second joint inventor: Michael B. Dilger

Inventor's Signature:   
 Residence: Redwood City, CA  
 Post Office Address: 1077 Chesterton Avenue  
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Date: 9-7-2000  
 Citizenship: USA

Full name of third joint inventor: Thomas Pratt

Inventor's Signature:   
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Date: 9-7-2000  
 Citizenship: USA

Full name of fourth joint inventor: Derk Norton

Inventor's Signature:

Residence: Louisville, CO

Post Office Address: 597 West Pine Street  
Louisville, CO 80027

Date:

8/22/02

Citizenship:

USA

Full name of fifth joint inventor: Stan D. Shurygailo

Inventor's Signature:

Residence: Boulder, CO

Post Office Address: 315 Forest Avenue  
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Date:

MAY 1, 2000

Citizenship:

USA